

## Annual Report for Calendar 2013

The Honorable Kirsten E Gillibrand (Gillibrand, Kirsten E.)

Filed 05/15/2014 @ 9:44 AM

The following statements were checked before filing:

- ☒ I certify that the statements I have made on this form are true, complete and correct to the best of my knowledge and belief.
- ☒ I understand that reports cannot be edited once filed. To make corrections, I will submit an amendment to this report.
- ☐ I omitted assets because they meet the three-part test for exemption.

### Part 1. Honoraria Payments or Payments to Charity in Lieu of Honoraria

Did any individual or organization pay you or your spouse more than \$200 or donate any amount to a charity on your behalf, for an article, speech, or appearance? No

### Part 2. Earned and Non-Investment Income

Did you or your spouse have reportable earned income or non-investment income? Yes

| Who Was Paid | Type   | Who Paid                               | Amount Paid |
|--------------|--------|--|-------------|
| Spouse       | Salary | Port Imperial LLC<br>New York City, NY | > \$1,000   |

### Part 3. Assets

Did you, your spouse, or dependent child own any asset worth more than \$1000, have a deposit account with a balance over \$5,000, or receive income of more than \$200 from an asset? Yes

| # | Asset  | Asset Type   | Owner  | Value                  | Income   | Income                       |
|---|--|--------------|--------|------------------------|----------|------------------------------|
|   |  |              |        |                        | Type     |                              |
| 1 | Citibank New York City, NY<br>Type: Checking, Savings  | Bank Deposit | Joint  | \$15,001 -<br>\$50,000 | Interest | None (or less<br>than \$201) |
| 2 | Citibank<br>New York City, New York<br>Type: Money Market Account<br>Filer comment: Simplified<br>Employee Pension (SEP)<br>Money Market | Bank Deposit | Spouse | \$1,001 -<br>\$15,000  | Interest | None (or less<br>than \$201) |

| # | Asset   | Asset Type                       | Owner  | Value                  | Income   | Income                       |
|---|---|----------------------------------|--------|------------------------|----------|------------------------------|
|   |   |                                  |        |                        | Type     |                              |
| 3 | TrustCo<br>Schenectady, New York<br>Type: Checking        | Bank Deposit                     | Joint  | \$15,001 -<br>\$50,000 | Interest | None (or less<br>than \$201) |
| 4 | Windcrest LLC Stock,<br>Medical Services, Bethesda,<br>MD | Corporate<br>Securities<br>Stock | Spouse | \$15,001 -<br>\$50,000 | None     | None (or less<br>than \$201) |

#### Part 4a. Periodic Transaction Report Summary

Have you filed related paper-based Periodic Transaction Reports (PTR) in this reporting period? No

#### Part 4b. Transactions

Did you, your spouse, or dependent child buy, sell, or exchange an asset that exceeded \$1,000? No

#### Part 5. Gifts

Did you, your spouse, or dependent child receive any reportable gift during the reporting period? No

#### Part 6. Travel

Did you, your spouse, or dependent child receive any reportable travel or reimbursements for travel? No

#### Part 7. Liabilities

Did you, your spouse, or dependent child have a liability worth more than \$10,000 at any time? No

#### Part 8. Positions

Did you hold any outside positions during the reporting period? No

#### Part 9. Agreements

Did you have any reportable agreement or arrangement with an outside entity? Yes

| Date        | Parties Involved  | Type                 | Status and Terms   |
|-------------|---|----------------------|--|
| Aug<br>2013 | Random House Inc. -<br>Ballantine Bantam<br>Dell<br>New York City, NY | Royalty<br>Agreement | The agreement is to receive an advance and royalties based on<br>usual and customary terms for the publication of book, titled "Off the<br>Sidelines - Raise Your Voice, Change the World" |

## Part 10. Compensation

If this is your first report, or you are a candidate did you receive compensation of more than \$5,000 from a single source in the two prior years? No

## Attachments & Comments

*No attachments added.*

*No comments added.*

*No private comments added.*